

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pavel Holub et al.

Serial No.:

Group Art Unit:

Filed:

Examiner:

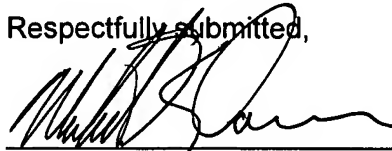
For: AQUEOUS DISPERSIONS CONTAINING MULTI-STAGE EMULSION
POLYMERSMail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENTUNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Respectfully submitted,

Michael K. Carrier
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13 November 2003

Date

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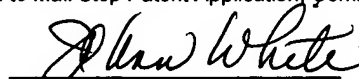
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Date of Deposit:

November 13, 2003

I hereby certify that this Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) and the Documents referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Jo Ann White

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Substitute for form 1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Attorney Docket Number		80045			
				First Named Inventor		Pavel Holub et al.			
				COMPLETE IF KNOWN					
				Application Number					
				Filing Date					
Group Art Unit									
Sheet	1	of	1	Examiner Name					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		5,539,073		Taylor et al.	07-23-1996
		5,596,035		Désor et al.	01-21-1997
		6,005,042		Désor et al.	12-21-1999

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	1 149 875	A1	Rohm and Haas Company	10-31-2001	
		EP	1 302 515	A2	Rohm and Haas Company	04-16-2003	
		WO	98/08882	A1	S. C. Johnson Commercial Markets, Inc.	03-05-1998	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.		T ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.